Performance Oriented Association in Cellular Networks with Technology Diversity

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- Motivation and Background.
- Main mathematical model.
- Summary of Results.
- Conclusions

MVNOs (Mobile Virtual Network Operators)

These operators pool together various wireless technologies (for eg. 2G, 3G, 4G LTE) to create a service.

A UE can dynamically chose between the various technologies depending on whichever yields higher instantaneous benefit.











#### Background



A new model of cellular service by Google

Leveraging the presence and *control* of multiple wireless technologies operating on *non-overlapping bandwidth*.

Google Fi - The different cellular operators and WiFi operate on separate bands.

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**Technology Diversity** - A framework to leverage and evaluate the benefits from the diversity of wireless technologies.

#### The Problem we study - Base Station Association

Which Base-Station/Access Point must a UE associate with ?



A principled way to exploit the *diversity* in the network.

*First Guess* - Connect to the nearest BS irrespective of the type of BS it is.

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Thus, in this example SINR ((())) < SINR

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There is a *typical user* at the origin of the Euclidean plane who wishes to associate to a BS.

Palm theory connects the viewpoint of a single user to the *average* performance experienced by the users in the network.



BS of technology i transmits at power  $P_i$ 

Signal from BS of technology i attenuated with distance as given by the function  $l_i(\cdot) : \mathbb{R}_+ \to \mathbb{R}_+$ 

Independent fading from the jth nearest BS of technology i to the typical user -  $H_j^i$ 

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(Non-overlapping bandwidths  $\Longrightarrow$  Interference from only one technology) (( $\bigcirc$ )) (( $\bigcirc$ )) (( $\bigcirc$ )) ( $\bigcirc$ ) ( $\bigcirc$ )

 $\frac{SINR_0^{i,j}}{jth}$  SINR of the typical UE when it associates to the jth nearest BS of technology i.

• For each technology *i*, denote by bounded non-increasing functions  $p_i(\cdot) : \mathbb{R}_+ \to \mathbb{R}_+$  denoting the *reward* function.

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- If the typical UE connects to the jth nearest BS of technology i, then it receives a *reward* of  $p_i(SINR_0^{i,j})$





The reward received by the UE in this example is  $p_2(SINR_0^{2,2})$ 

Examples of common reward functions

- Coverage  $p_i(x) = \mathbf{1}(x \ge \beta_i)$
- Average Achievable Rate  $p_i(x) = B_i \log_2(1+x)$

#### Information at the UE

Goal- Design association schemes exploiting available network *"information"* at the UE, that maximize expected reward of a typical UE.

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Examples of Information that a UE can know -

- Nearest BS of all technologies.
- Nearest k BS of all technologies.
- Instant fading and the distance to the nearest  $k \ \mathsf{BS}$
- Noisy estimate of the instant fading from the nearest kBS of each technology.







Sudden very good signal which the UE can sense.



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Notion of Information at the typical UE formalized through the notion of *filtrations* of a sigma algebra.

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 $\mathcal{F}_{I} = \sigma\left(\sigma(\{r_{k}^{i}\}_{i=1}^{T}) \cup \mathcal{F}'\right) \text{ where } \mathcal{F}' \subseteq \sigma\left(\{H_{j}^{i}\}_{j \in [1,k], i \in [1,T]}\right)$ 

<u>Recall -</u>

## $\begin{array}{l} (\Omega,\mathcal{F},\mathbb{P}) & \mbox{The Probability space containing the independent} \\ \mbox{RVs } \{\phi_i\}_{1=1}^T \mbox{ and } \{H_j^i\}_{i\in[1,T],j\in\mathbb{N}} \end{array}$

- $\pi: \Omega \to [1,T] \times \mathbb{N}$  This is  $\mathcal{F}_I$  measurable function denoting the association scheme.
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Performance of policy  $\pi$  is then  $\mathcal{R}_{\pi} = \mathbb{E}[p_{\pi(0)}(SINR_0^{\pi})]$ 

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For any association scheme  $\pi$ , the expected reward is  $\mathcal{R}_{\pi} = \mathbb{E}[p_{\pi(0)}(SINR_{0}^{\pi})]$ 

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#### **Proposition:**

$$\pi_I^* = \arg \sup_{i \in [1,T], j \in \mathbb{N}} \mathbb{E}[p_i(SINR_0^{i,j}) | \mathcal{F}_I] \quad \text{a.s.}$$

The optimal association  $\pi_I^*$  under information  $\mathcal{F}_I$  is given by  $\pi_I^* = \arg \sup_{i \in [1,T], j \in \mathbb{N}} \mathbb{E}[p_i(SINR_0^{i,j})|\mathcal{F}_I]$  a.s.

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Denote by the performance of the optimal association as  $\mathcal{R}_{I}^{\pi^{*}}$ where  $\mathcal{R}_{I}^{\pi^{*}} = \mathbb{E}[p_{\pi^{*}(0)}(SINR_{0}^{\pi^{*}})]$ 

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<u>Theorem</u> - "More information gives better performance"  $\mathcal{F}_{I_1} \subseteq \mathcal{F}_{I_2} \implies \mathcal{R}_{I_1}^{\pi^*} \leq \mathcal{R}_{I_2}^{\pi^*}$ 

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Comparison of schemes without messy computation !

<u>Theorem</u> - "More information gives better performance"

$$\mathcal{F}_{I_1} \subseteq \mathcal{F}_{I_2} \implies \mathcal{R}_{I_1}^{\pi^*} \leq \mathcal{R}_{I_2}^{\pi^*}$$

Proof -

$$\mathcal{R}_{I_2}^{\pi^*} = \mathbb{E}\left[\sup_{i \in [1,T], j \ge 1} \mathbb{E}[p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}]\right]$$

 $\begin{array}{l} \underline{\text{Theorem}} \text{- "More information gives better performance"} \\ \mathcal{F}_{I_1} \subseteq \mathcal{F}_{I_2} \implies \mathcal{R}_{I_1}^{\pi^*} \leq \mathcal{R}_{I_2}^{\pi^*} \\ \\ \text{Proof -} \qquad \mathcal{R}_{I_2}^{\pi^*} = \mathbb{E} \left[ \sup_{i \in [1,T], j \geq 1} \mathbb{E}[p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}] \right] \\ \\ \\ \text{Tower Property} \qquad = \mathbb{E} \left[ \mathbb{E} \left[ \sup_{i \in [1,T], j \geq 1} \mathbb{E}[p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}] \middle| \mathcal{F}_{I_1} \right] \right] \end{array}$ 

<u>Theorem</u> - "More information gives better performance"  $\mathcal{F}_{I_1} \subseteq \mathcal{F}_{I_2} \implies \mathcal{R}_{I_1}^{\pi^*} \leq \mathcal{R}_{I_2}^{\pi^*}$  $\mathcal{R}_{I_2}^{\pi^*} = \mathbb{E} \left| \sup_{i \in [1,T], j \ge 1} \mathbb{E} [p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}] \right|$ Proof - $= \mathbb{E} \left[ \mathbb{E} \left[ \sup_{i \in [1,T], j \ge 1} \mathbb{E} [p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}] \middle| \mathcal{F}_{I_1} \right] \right]$ **Tower Property**  $\geq \mathbb{E} \left| \sup_{i \in [1,T], i > 1} \mathbb{E} \left[ \mathbb{E} [p_i(SINR_0^{i,j}) | \mathcal{F}_{I_2}] \middle| \mathcal{F}_{I_1} \right] \right|$ Jensen's Inequality

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<u>Lemma:</u>

If the information  $\mathcal{F}_I$  is independent of  $\sigma\left(\{H_j^i\}_{j\geq 1,i\in[1,T]}\right)$ then,  $\underset{j\geq 1}{\operatorname{arg\,sup}} \mathbb{E}[p_i(SINR_0^{i,j})|\mathcal{F}_I] = 1$  for all i. <u>Lemma:</u>

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In particular, in the **absence of fading information**, the optimal strategy is to associate to the nearest BS of the best technology.

**Examples of Association Policies and Computations** 

Some examples of association policies.

- Optimal Association under no information.
- Optimal Association under nearest BS.
- Max-Ratio Association.
- Optimal Association under complete network information.

Optimal Association scheme great in theory.

But it is a parametric algorithm - depends on the distribution of the point-processes, fading powers !

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We propose a "data-dependent" association scheme called Max-Ratio association.

$$\pi_m = \left[ \arg \max_{i \in [1,T]} \frac{r_1^i}{r_2^i}, 1 \right]$$

Associate to the nearest BS of the technology yielding the maximum ratio.

(Oblivious to the statistical assumptions on the network.)

**Max-Ratio Association** 

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This scheme trades off high signal power with that of interference power.

$$((\cdots))$$
  $((\cdots))$   $((\cdots))$ 





#### Max-Ratio Association - Asymptotic Optimality

**Theorem** - Let the noise powers be 0 and the reward function for all technologies are the same, i.e.  $p_i(\cdot) = p(\cdot) \forall i$ . Consider, the family of power law path-loss functions  $\{l^{(\alpha)}(\cdot)\}_{\alpha>2}$ , where  $l^{(\alpha)}(x) = x^{-\alpha}$ . Let k be any integer larger than or equal to 2. If the information at the UE is the distance to the nearest k BS of each technology, i.e.  $\mathcal{F}_I = \sigma(\bigcup_{i=1}^T (r_1^i, \cdots, r_k^i))$ , then Max-Ratio is asymptotically almost surely optimal i.e.

$$\pi_{\alpha}^* \xrightarrow{\alpha \to \infty} \left[ \arg \max_{i \in [1,T]} \frac{r_1^i}{r_2^i}, 1 \right]$$
 a.s.

#### Max-Ratio Association - Finite Scale Behavior



For  $\alpha \geq 5$ , Max-Ratio is nearly optimal.

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Denote by  $f_i(\cdot)$  as the PDF of the random variable  $\pi_i(\mathbf{r}_i, \lambda_i)$  and by  $F_i(\cdot)$  as the associated CDF.

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<u>Example</u> - The Max-Ratio and the Optimal Association fit into this performance evaluation framework.

 $\mathbf{r}_i$  The *L* dimensional vector corresponding to information on technology *i* 

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 $f_i(\cdot), F_i(\cdot)$  The PDF and CDF of  $\pi_i(\mathbf{r}_i, \lambda_i)$ 

# $\frac{\textbf{Theorem -}}{\mathcal{R}_{I}^{\pi} = \sum_{i=1}^{T} \int_{\mathbf{r} \in \mathbb{R}^{l}} \mathbb{E}[p_{i}(SINR_{0}^{i,j_{i}(\mathbf{r},\lambda_{i})})|\mathbf{r}]f_{i}(\mathbf{r}) \prod_{j=1, j \neq i}^{T} F_{j}(\pi_{j}(\mathbf{r},\lambda_{j}))d\mathbf{r}$

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#### Corollary -

Closed form formulas for Max-Ratio and certain optimal association schemes.

#### Conclusions

Provide a systematic framework to *design* and evaluate association schemes that exploits the available information and technology diversity



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#### Max-Ratio Algorithm -

A simple and *almost* optimal algorithm for association.

### Thank you for your time.